



BEST AVAILABLE COPY

#4

Case No. 7814/43

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled Doped Semiconductor Nanocrystals, the specification of which:

- ☐ is attached hereto.
☒ was filed on October 19, 2000 as Application Serial No. 09/694,090.
☐ and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability as defined in Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed

<u>(Number)</u>	<u>(Country)</u>	<u>(Day/Month/Year Filed)</u>	<input type="checkbox"/> Yes	<input type="checkbox"/> No
-----------------	------------------	-------------------------------	------------------------------	-----------------------------

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

<u>(Application Serial No.)</u>	<u>(Filing Date)</u>
---------------------------------	----------------------

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

<u>(Application Serial No.)</u>	<u>(Filing Date)</u>	<u>(Status-patented, pending, abandoned)</u>
---------------------------------	----------------------	----------------------------------------------

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's Signature

Full name of sole or first inventor

Residence

Citizenship

Post Office Address

Philippe Guyot-Sionnest

2421 N. Orchard Street, Chicago, IL 60614

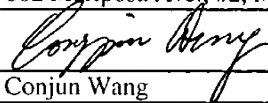
France

2421 N. Orchard Street, Chicago, IL 60614

Date: 06/27/01

BRINKS HOFER GILSON & LIONE

P.O. Box 10395
Chicago, IL 60610
(312) 321-4200

Inventor's Signature	_____	Date: _____
Full name of second joint inventor, if any	Moonsub Shim	
Residence	662 Mariposa Ave., #2, Mountain View, CA 94041	
Citizenship	South Korea	
Post Office Address	662 Mariposa Ave., #2, Mountain View, CA 94041	
Inventor's Signature		Date: <u>06/27/01</u>
Full name of third joint inventor	Conjun Wang	
Residence	5547 S. Blackstone Ave., Room 203, Chicago, IL 60637	
Citizenship	Peoples' Republic Of China	
Post Office Address	5547 S. Blackstone Ave., Room 203, Chicago, IL 60637	

BEST AVAILABLE COPY



#4

Case No. 7814/43

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled Doped Semiconductor Nanocrystals, the specification of which:

- ☐ is attached hereto.
☒ was filed on October 19, 2000 as Application Serial No. 09/694,090.
☐ and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability as defined in Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)Priority Claimed

☐ Yes
☐ No

(Number) (Country) (Day/Month/Year Filed)

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

(Application Serial No.) (Filing Date)

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

(Application Serial No.) (Filing Date) (Status-patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's Signature

Full name of sole or first inventor

Residence

Citizenship

Post Office Address

Date: _____

Philippe Guyot-Sionnest2421 N. Orchard Street, Chicago, IL 60614France2421 N. Orchard Street, Chicago, IL 60614


BRINKS HOFER GILSON & LIONE

P.O. Box 10395

Chicago, IL 60610

(312) 321-4200

Inventor's Signature
Full name of second joint inventor, if any
Residence
Citizenship
Post Office Address



Date:

6/25/01

Moonsub Shim
662 Mariposa Ave., #2, Mountain View, CA 94041
South Korea
662 Mariposa Ave., #2, Mountain View, CA 94041

Inventor's Signature
Full name of third joint inventor
Residence
Citizenship
Post Office Address

Date:

Conjun Wang
5547 S. Blackstone Ave., Room 203, Chicago, IL 60637
Peoples' Republic Of China
5547 S. Blackstone Ave., Room 203, Chicago, IL 60637

